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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/633,595

08/05/2003

Takahiro Oikawa

044499-0171

CONFIRMATION NO. 4815

FORMALITIES LETTER

OC000000011158591*

22428 **FOLEY AND LARDNER** SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

Date Mailed: 11/03/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED

See <u>www.uspto.gov</u> for complete FY 2003 and FY-2004 Fee Schedules

* Indicates fees remain at FY 2003 amount

Basic filing fee - Utility Basic filing fee - Utility (Small Entity) Independent claims in excess of three Independent claims in excess of three (Small Entity) Claims in excess of twenty Claims in excess of twenty (Small Entity) Multiple dependent claim Multiple dependent claim (Small Entity) Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity) Design filing fee (Small Entity) Plant filing fee (Small Entity) Reissue filing fee Reissue filing fee (Small Entity)	2003 \$750 \$375 \$84 \$42, \$18 \$9 \$280 \$140 \$130 \$65 \$330	\$770 \$385 \$86 \$43 \$18* \$9* \$290 \$145
Basic filing fee - Utility (Small Entity) Independent claims in excess of three Independent claims in excess of three (Small Entity) Claims in excess of twenty Claims in excess of twenty (Small Entity) Multiple dependent claim Multiple dependent claim (Small Entity) Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity) Design filing fee Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	\$375 \$84 \$42 \$18 \$9 \$280 \$140 \$130 \$65	\$385 \$86 \$43 \$18* \$9* \$290 \$145
Independent claims in excess of three Independent claims in excess of three (Small Entity) Claims in excess of twenty Claims in excess of twenty (Small Entity) Multiple dependent claim Multiple dependent claim (Small Entity) Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity) Design filing fee Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	\$84 \$42 \$18 \$9 \$280 \$140 \$130 \$65	\$86 \$43 \$18* \$9* \$290 \$145
Independent claims in excess of three (Small Entity) Claims in excess of twenty Claims in excess of twenty (Small Entity) Multiple dependent claim Multiple dependent claim (Small Entity) Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity) Design filing fee Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	\$18 \$9 \$280 \$140 \$130 \$65	\$18* \$9* \$290 \$145
Claims in excess of twenty Claims in excess of twenty (Small Entity) Multiple dependent claim Multiple dependent claim (Small Entity) Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity) Design filing fee Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	\$9 \$280 \$140 \$130 \$65	\$9* \$290 \$145
Multiple dependent claim Multiple dependent claim (Small Entity) Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity) Design filing fee Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	\$280 \$140 \$130 \$65	\$290 \$145
Multiple dependent claim (Small Entity) Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity) Design filing fee Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	\$140 \$130 \$65	\$145
Surcharge - Late filing fee Surcharge - Late filing fee (Small Entity) Design filing fee Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	\$130 \$65	
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Design filing fee Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee		\$130
Design filing fee (Small Entity) Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	\$330	\$65
Plant filing fee Plant filing fee (Small Entity) Reissue filing fee	Ψυυυ	\$340
Plant filing fee (Small Entity) Reissue filing fee	\$165	\$170
Reissue filing fee	\$520	\$530
Reissue filing fee	\$260	\$265
Poissus filing for (Small Entity)	\$750	\$770
reissue ming ree (Smail Emily)	\$375	\$385
Reissue independent claims	\$84	\$86
Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty	\$18	\$18*
Reissue claims in excess of twenty (Small Entity)	\$9	\$9*
Provisional application filing fee	\$160	\$160*
Provisional application filing fee (Small Entity)	\$80	\$80*
Surcharge - Late provisional filing fee	\$50	\$50*
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Non-English Specification	\$130	\$130*
Extension - First month	\$110	\$110*
Extension - First month (Small Entity)	\$55	\$55*
Extension - Second month	\$410	\$420
Extension - Second month (Small Entity)	\$205	\$210
Extension - Third month	\$930	\$950
Extension - Third month (Small Entity)	\$465	\$475
Extension - Fourth month	\$1,450	\$1,480
Extension - Fourth month (Small Entity)	\$725	\$740
Extension - Fifth month	\$1,970	\$2,010
Extension - Fifth month (Small Entity)	\$985	\$1,005
Petition	6400	A4A-+
Processing returned checks	\$130 \$50	\$130* \$50*

For further fee information, please call (703-308-HELP)